

#3

In re PATENT APPLICATION of

Toshiya UEMURA

Group Art Unit: Unassigned

Appln. No.: 09/828,159

Examiner: Unassigned

Filed: April 9, 2001

FOR: LIGHT-EMITTING APPARATUS

\* \* \* \*

August 21, 2001

SUBMISSION OF FORMAL DRAWINGS


Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Submitted herewith are 5 sheets of formal drawings containing Figures 1-8 for the above-identified application in response to the Notice to File Corrected Application Papers dated June 1, 2001.

Respectfully submitted,

Pillsbury Winthrop LLP

By:   
John P. Darling  
Reg. No.: 44,482  
Tel. No.: (703) 905-2045  
Fax No.: (703) 905-2500

JPD:tmt

Attachment:

Formal Drawings

1600 Tysons Boulevard  
McLean, VA 22102  
(703) 905-2000

30211078\_1.DOC

09828159-082101

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATION**COMPLETION Under**  
Rule 53(f)In re PATENT APPLICATION of

Inventor(s): Toshiya UEMURA

Attn: Application Division

Appl. No.: 09 | 828,159  
Series Code  $\uparrow$  | Serial No.  $\uparrow$ Atty.Dkt. P | 280087 | T36-133137M/KOH  
M# | Client Ref

Filed: April 9, 2001

Title: LIGHT-EMITTING APPARATUS

Hon. Commissioner of Patents  
Washington, DC 20231

Date: August 21, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:Notice to File Missing Parts ☒ copy attached ☐ not yet received☐ Signed Declaration attached. ☐ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.☐ Specification originally filed in non-English language; hence verified translation attached of:a. ☐ Abstractb. #            pages of Specification (only spec. & claims)c. ☐ Drawing(s)

No of Sheets

☐ Fig(s).4. ☒ Letter filing formal drawing attached.5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee . . . . . Design Application				\$320/\$160		106/26
Not Design Application				\$710/\$355	+	101/201
14. Total Effective Claims	8	minus 20 =	0	x \$18/\$9	+0	103/203
15. Independent Claims	3	minus 3 =	0	x \$80/\$40	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+0	105/205
18. FILING FEE ENCLOSED =				\$		
19. Original due date: August 1, 2001						
20. Petition is hereby made to extend the original due date to (1 mo)				\$110/\$55 =	+110	115/215
cover the date this response is filed for which the requisite fee (2mos)				\$390/\$195 =		116/216
is attached (3mos)				\$890/\$445 =		117/217
(4mos)				\$1390/\$695 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee. . . . .				\$40	+0	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =				\$110		

Our Deposit Account No. 03-3975

Our Order No. 51273

280087

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1600 Tysons Boulevard

By Atty: John P. Darling

Reg. No. 44,482

McLean, VA 22102

Sig:

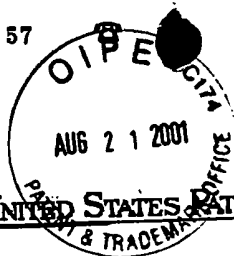
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Atty/Sec: JPD/tmt

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/828,159	04/09/2001	Toshiya Uemura	P 280087 T36- 133137M/KOH

CONFIRMATION NO. 7726

RESEND COVER LETTER



\*OC000000006449217\*

Pillsbury Winthrop LLP  
Intellectual Property Group  
Ninth Floor  
1100 New York Avenue, NW  
Washington, DC 20005-3918

Date Mailed: 08/20/2001

**COVER LETTER FOR RESENDING CORRESPONDENCE**

A courtesy copy of the Notice mailed on 06/01/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

O I P E  
AUG 21 2001  
OFFICE  
UNITED STATES PATENT AND TRADEMARK OFFICECOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,159	04/09/2001	Toshiya Uemura	P 280087 T36- 133137M/KOII

CONFIRMATION NO. 7726

FORMALITIES LETTER



\*OC000000008138876\*

Pillsbury Winthrop LLP  
Intellectual Property Group  
Ninth Floor  
1100 New York Avenue, NW  
Washington, DC 20005-3918

Date Mailed: 06/01/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE